Finwave™

Powered By: AIRAN FINSTOCKS PVT LTD

INDIVIDUAL ACCOUNT OPENING FORM (DEMAT + TRADING)

FOR OFFICE USE

FORM NO. : ______________________

CLIENT NAME : ______________________

CLIENT CODE: ______________________ BO ID: 12062700______________________

BRANCH / AUTHORISED PERSON NAME : ______________________

BRANCH / AUTHORISED PERSON CODE: ______________________

FILING REFERENCE NO. : ______________________

FAMILY / GROUP : ______________________

CEO Details:
Mr. INDRESH SHAH
Telephone: +91-79-40-222-666
Email: info@airanfinstocks.com

REGISTERED OFFICE ADDRESS
AIRAN FINSTOCKS PRIVATE LIMITED
1214-1216,12th floor, DSCCSL(53E), Road 5E, Block 53, Nr. Grand Mercure Hotel, ZONE 5 DTA, Gift City,Gandhinagar, Gujarat - 382355
Telephone: +91-79-40-222-666
Fax: +91-79-40-222-699
Email: info@airanfinstocks.com

COMPLIANCE OFFICER (Broking)
Mr. MAULIK SHAH
Telephone: +91-98980-63673
Email: compliance@airanfinstocks.com

CORPORATE & COMMUNICATION OFFICE ADDRESS
AIRAN FINSTOCKS PRIVATE LIMITED
407, The Grand Mall, Opp. SBI Zonal Office,
Ambawadi, Ahmedabad, GUJARAT-380015
Telephone: +91-79-40-222-605 / 609
Fax: +91-79-40-222-699
Email: info@airanfinstocks.com

CENTRAL PROCESSING OFFICE ADDRESS :
265, Landmark, Tokar Khada, Near Civil Court,
Silvassa - 396230

COMPLIANCE OFFICER (DP)
Mr. KIRAN GOHEL
Telephone: +91-93752-26606
Email: kirangohel@airanfinstocks.com

SEBI REGISTRATION NUMBERS
SEBI Regn. No. NSE/ BSE / MCX : INZ000214031 ,SEBI Regn. No. DP: IN-DP-CDSL-528-2009

www.finwave.co

DISCLOSURE INFORMATION OF PROPRIETARY TRADING

To increase transparency in the dealings between us as a Trading Member and you as a Client we do hereby disclose that apart from Client based trading, we also do Proprietary Based Trading (Self account) in ALL SEGMENTS of ALL EXCHANGES in which we are members. You are requested to please take a note of the same

For any grievance/ dispute please contact Airan Finstocks Private Ltd. at the above address and/ or email id: complaint@airanfinstocks.com for DP: demat@airanfinstocks.com and/ or Phone No. +91-79-40-222-666. In case you are not satisfied with the response, please contact the concerned exchange(s) at National Stock Exchange of India Limited at [ignse@nseindia.com and Phone No. 1800-220-058 / 022-26598190] and Bombay Stock Exchange Limited at [is@bseindia.com and Phone No. +91-22-2272-8097 / 2272 1233,Multi Commodity Exchange of India Limited at [grievance@ mcxindia.com and Phone No. 022 - 66494070]and CDSL at [complaints@cdslindia.com and Phone No. 022 - 22723333]

INDEX

MANDATORY DOCUMENT AS PRESCRIBED BY SEBI, EXCHANGES AND DEPOSITORIES

VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER & DEPOSITORY PARTICIPANT

Included in Client Copy

IMPORTANT NOTE

- **Signature Types:** FH Signature of Client / First Holder SH Signature of Second Holder TH Signature of Third Holder
- **In case of any correction in the form - Sign next to the correction done and sign has to match the original signature.**
- **Please read "AFPL" as "Airan Finstocks Private Limited" Wherever it appears.**

Know Your Client (KYC)

Application Form (For Individuals Only)

- Please fill type the form in English and BLOCK letters.
- **Sign all areas marked with** . Once completed,
- Please mail the completed form along with the necessary
- Proofs to our corporate office in Ahmedabad

First Holder

FH 1/20

PLEASE AFFIX A RECENT PASSPORT PHOTOGRAPH AND **SIGN ACROSS IT**

CLIENT'S SIGNATURE

A. Identity Details (please see guidelines)

FULL NAME (As appearing in supporting identification document):

FATHER/SPOUSE'S NAME: MAIDEN NAME: (If any)

MOTHER NAME:

GENDER ◯ MALE ◯ FEMALE ◯ T-TRANSGENDER | MARITAL STATUS ◯ MARRIED ◯ UNMARRIED ◯ OTHERS

CITIZENSHIP ◯ IN-INDIAN ◯ OTHERS (ISO 3166 COUNTRY CODE) DATE OF BIRTH (dd/mm/yyyy)

RESIDENTIAL STATUS ◯ RESIDENT INDIVIDUAL ◯ NON RESIDENT INDIAN ◯ FOREIGN NATIONAL ◯ PERSON OF INDIAN ORIGIN

PAN (Please enclose a duly attested copy) | AADHAAR NUMBER (if any)

PROOF OF IDENTITY SUBMITTED FOR PAN EXEMPT CASES (Please Tick ✓)
◯ UID (AADHAAR) ◯ PASSPORT ◯ VOTER ID ◯ DRIVING LICENCE ◯ OTHERS ____________
◯ NREGA JOB

B. Address Details (please see guidelines)

Address Type ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

ADDRESS FOR RESIDENCE/CORRESPONDENCE: ____________

CITY/TOWN/VILLAGE: | PIN CODE | STATE: COUNTRY:

TEL (OFF): | EMAIL ADDRESS:

MOBILE: | FAX:

PERMANENT ADDRESS OF RESIDENT APPLICANT IF DIFFERENT FROM ABOVE B1 OR OVERSEAS ADDRESS(MANDATORY) FOR NON RESIDENT APPLICANT:

CITY/TOWN/VILAGE: | PIN CODE | STATE: COUNTRY:

PROOF OF ADDRESS TO BE PROVIDED BY APPLICANT. PLEASE SUBMIT **ANY ONE** OFTHE FOLLOWING VALID DOCUMENTS & **CHOOSE** THE DOCUMENT ATTACHED
◯ AADHAR CARD ◯ PASSPORT ◯ REGISTERED LEASE/SALEAGREEMENT OF RESIDENCE ◯ DRIVING LICENCE ◯ VOTER IDENTITY CARD
◯ *LATEST BANKA/c STATEMENT/PASSBOOK ◯ *LATEST TELEPHONE BILL (Only landline) ◯ *LATEST ELECTRICITY BILL ◯ *LATEST GAS BILL
◯ OTHERS (Please Specify) ____________
*Not more than 3 months old. Validity/Expiry date of proof of address submitted (dd/mm/yyyy)

ANY OTHER IN FORMATION:

DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I may be held liable for it.

1. I/We hereby consent to receiving information from CVL KRA through SMS/E-mail on the above registered number/E-mail address.
2. I am/we are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing My/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and Other Intermediaries with whom I have a business relationship for KYC purposes only.

FH 2/20

PLACE:

DATE (DD/MM/YYYY)

FOR OFFICE USE ONLY

IPV Done ■ on (dd/mm/yyyy)

AIRAN FINSTOCKS PRIVATE LIMITED

☐ (Originals verified) True copies of documents received

☐ (Attested) True copies of documents received Main Intermediary

CERSAI - IN1182 • CVLKRA - 1100062700

Application Type ☐ New ☐ Update

KYC Number *(Mandatory for KYC update request)*

Account Type ☐ Normal ☐ Small ☐ Simplified (or low risk customers)

Seal/Stamp of the intermediary should contain
Staff Name
Designation
Name of the Organization
Signature
Date
Place

ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT

CDSL

TO BE FILLED BY THE DEPOSITORY PARTICIPANT

APPLICATION NUMBER:	DATE (DD/MM/YYYY)
DP INTERNAL REFERENCE NUMBER:	DP ID 1 2 0 6 2 7 0 0 BO ID

TYPE OF ACCOUNT (PLEASE TICK WHICHEVER IS APPLICABLE)

○ INDIVIDUAL	○ INDIVIDUAL RESIDENT ○ INDIVIDUAL DIRECTOR'S RELATIVE ○ INDIVIDUAL PROMOTER ○ INDIVIDUAL MARGIN TRADING A/C (MANTRA)	○ INDIVIDUAL DIRECTOR ○ INDIVIDUAL HUF/AOP ○ MINOR ○ OTHERS (SPECIFY) ________
○ NRI	○ NRI REPATRIABLE ○ NRI REPATRIABLE PROMOTER ○ NRI DEPOSITORY RECEIPTS	○ NRI NON - REPATRIABLE ○ NRI NON - REPATRIABLE PROMOTER ○ OTHERS (SPECIFY) ________
○ FOREIGN NATIONAL	○ FOREIGN NATIONAL ○ FOREIGN NATIONAL DEPOSITORY RECEIPTS	○ OTHERS (SPECIFY) ________

HOLDER DETAILS (TO BE FILLED BY THE APPLICANT IN BLOCK LETTERS)

I/We Request You To Open A Demat Account In my/our Name as per following details:

SOLE/FIRST HOLDER'S NAME

PAN NO. (Compulsory)	UID NO.

SECOND HOLDER'S NAME:

PAN NO. (Compulsory)	UID NO.

THIRD HOLDER'S NAME:

PAN NO. (Compulsory)	UID NO.

FIRST HOLDER GST NO.

DETAILS OF GUARDIAN (IN CASE THE ACCOUNT HOLDER IS MINOR)

GUARDIAN'S NAME:

GUARDIAN'S ADDRESS: ________

RELATIONSHIP WITH THE APPLICANT:	PAN NO. (Compulsory)

OTHER DETAILS

GROSS ANNUAL INCOME DETAILS (Income Range per annum) ○ UP TO RS. 1 LAC ○ RS. 1 LAC - RS. 5 LAC ○ RS. 5 LAC - RS. 10 LAC ○ RS. 10 LAC - RS. 25 LAC ○ MORE THAN RS. 25 LAC	NET WORTH AS ON DATE (NOT OLDER THAN 1 YEAR) VALUE IN Rs. ________ DATE (DD/MM/YYYY)

Occupation Type* ☐ S-Service ☐ Private Sector ☐ Public Sector ☐ Government Sector)
☐ O-Others ☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☐ Student)
☐ B-Business ☐ X-Not Categorised ☐ Agriculturist

EDUCATIONAL DETAILS: ☐ Under Graduate ☐ Graduate ☐ Post Graduate ☐ Professional

AFFILIATIONS: ○ POLITICALLY EXPOSED PERSON (PEP) ○ RELATED TO A POLITICALLY EXPOSED PERSON (RPEP)

ANY OTHER INFORMATION:

FH 3/20	SH 1/9	TH 1/9

TRADING AND DEMAT ACCOUNT RELATED DETAILS

SECTION A — PRIMARY BANKING ACCOUNT (DIVIDEND BANK DETAILS)

BANK NAME	BRANCH NAME	
BRANCH ADDRESS		
CITY/TOWN/VILLAGE	PIN CODE	STATE COUNTRY
ACCOUNT NUMBER		
MICR NUMBER	IFSC CODE	

ACCOUNT TYPE ◯ CURRENT ◯ SAVINGS ◯ NRI / NRE / NRO ◯ OTHERS (Please Specify) ____________________

SECTION B — PRIMARY DEPOSITORY ACCOUNT (OPTIONAL)

DEPOSITORY PARTICIPANT NAME	DP TYPE (NSDL/CDSL)	DEPOSITORY PARTICIPANT ID
BENEFICIARY NAME		BO ID

SECTION C — TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client. If, in future, the client wants to trade on any new segment / new exchange, separate authorization / letter should be taken from the client by the stock broker.

C. TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you

Exchanges	BSE, NSE				MCX
All Segment	**Cash**	**F&O**	**Currency**	**SLBM**	**Commodity**

If you do not wish to trade in any of segments / Mutual Fund, please mention here ____________________.

SECTION D — PAST ACTIONS

Details of any action/ proceedings initiated/ pending/ taken by SEBI/ Stock exchange/ any other authority against the applicant/constituent or its Partners/ promoters/ whole time directors/ authorized persons in charge of dealing in securities during the last 3 years.

SECTION E — DEALING THROUGH OTHER MEMBERS

IF CLIENT IS DEALING THROUGH ANY OTHER MEMBER, PLEASE PROVIDE THE FOLLOWING DETAILS (IN CASE DEALING WITH MULTIPLE MEMBERS, PROVIDE DETAILS OF ALL IN A SEPARATE SHEET CONTAINING ALL THE INFORMATION AS MENTIONED BELOW)

MEMBER/AUTHORIZED PERSON NAME:	EXCHANGE:	EXCHANGE REGISTRATION NUMBER:	
CONCERNED MEMBER'S NAME WITH WHOM AP IS REGISTERED:		CLIENT CODE:	
REGISTERED OFFICE ADDRESS:			CITY:
STATE:	COUNTRY:	TELEPHONE:	FAX:
EMAIL ADDRESS:	DETAILS OF DISPUTES/DUES PENDING FROM/TO SUCH MEMBER/ AP:		
WEBSITE:			

☐ 6. DETAILS OF RELATED PERSON (RP) (In case of additional related persons, please fill Annexure B1')

☐ Addition of RP ☐ Deletion of RP KYC Number of RP (if available*)

RP Type* ☐ Guardian of Minor ☐ Assignee ☐ Authorized Representative

	Prefix	First Name	Middle Name	Last Name
Name*				

(If KYC number and name are provided, Proof of identity of RP required)

SECTION F — ADDITONAL DETAILS

CONTRACT NOTE PREFERENCES: ○ ELECTRONIC CONTRACT NOTE (ECN) ○ PHYSICAL CONTRACT NOTE

EMAIL ADDRESS **(Same as mentioned on Page 3):** ______________________

NUMBER OF YEARS OF INVESTMENT/TRADING EXPERIENCE	■ I WISH TO AVAIL THE FACILITY OF INTERNET TRADING/WIRELESS TECHNOLOGY
IN CASE OF NON-INDIVIDUALS, NAME, DESIGNATION, PAN, UID, SIGNATURE, RESIDENTIAL ADDRESS AND PHOTOGRAPHS OF PERSONS AUTHORIZED TO DEAL IN SECURITIES ON BEHALF OF COMPANY/FIRM/OTHERS	ANY OTHER INFORMATION

SECTION G — INTRODUCER DETAILS (OPTIONAL)

NAME OF THE INTRODUCER	RELATIONSHIP WITH INTRODUCER

INTRODUCER'S STATUS ○ EXISTING CLIENT ○ AUTHORIZED PERSON ○ SUB-BROKER ○ REMISIER ○ OTHER

INTRODUCER'S CLIENT ID	INTRODUCER'S PHONE NUMBER	***INTRODUCER'S SIGNATURE***

INTRODUCER'S ADDRESS

FOR OFFICE USE ONLY

CLIENT'S UCC CODE — EMPLOYEE DESIGNATION:

VERIFICATION OF DOCUMENTS

DOCUMENTS VERIFIED BY: — ON DATE (DD/MM/YYYY)

EMPLOYEE SIGNATURE:

CLEINTS INTERVIEW

CLIENT INTERVIEWED BY — ON DATE (DD/MM/YYYY)

EMPLOYEE SIGNATURE:

IN PERSON VERIFICATION (IPV)

IPV PERFORMED BY — ON DATE (DD/MM/YYYY)

EMPLOYEE SIGNATURE:

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/ We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/ We have given/ sent him a copy of all the KYC documents. I/ We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/ We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/ our website, if any, for the information of the clients.

SIGNATURE OF THE AUTHORISED SIGNATORY — DATE (DD/MM/YYYY) — SEAL/STAMP OF THE INTERMEDIARY

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

CLIENT'S NAME	PLACE
FH 5/20	DATE (DD/MM/YYYY)

SMS ALERT FACILITY. Refer to Terms & Conditions given as **Annexure-2.4** MOBILE NO.+91 | | | | | | | | | | |

[Mandatory, if you are giving Power of Attorney (POA)]

(If POA is not granted & you do not wish to avail of this facility, cancel this option).

TRANSACTIONS USING SECURED TEXTING FACILITY (TRUST). Refer to terms and Conditions **Annexure - 2.6**

I/We wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. ◯ YES ◯ NO

I/We wish to register the following clearing member Ids under my/our below mentioned BO ID registered for TRUST

Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (Optional)

EASI

To register for Easi please visit our website www.cdslindia.com

Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.

I / We wish to avail the following facility: Basic Services Demat A/C facility: ◯ YES ◯ NO ◯ OPT OUT

STANDING INSTRUCTIONS

OPTION FOR DIS BOOKLET (Option 1 is mandatory In case of BSDA A/c's)

Option 1 : ◯ I/we wish to receive the Delivery Instruction Slip (DIS) booklet with account opening.

Option 2 : ◯ I/we do not wish to receive the Delivery Instruction Slip (DIS) booklet with account opening as the Power of Attorney has been executed. However, the DIS booklet should be issued to me/us immediately on my/our request at any later date.

I / We instruct the DP to receive each and every credit in my/our account. (If not marked, the default option would be 'Yes') ◯ YES ◯ NO

I / We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (If not marked, the default option would be'No') ◯ YES ◯ NO

Account Statement Requirement

◯ AS PER SEBI REGULATION ◯ DAILY

◯ WEEKLY ◯ FORTNIGHTLY ◯ MONTHLY

I / We request you to send Electronic Transaction-cum- Holding Statement at the email ID ◯ YES ◯ NO

I / We would like to share the email ID with the RTA

◯ YES ◯ NO

I / We would like to receive the Annual Report (Tick the applicable box. If not marked, the default option would be in Physical)

◯ PHYSICAL ◯ ELECTRONIC ◯ BOTH PHYSICAL AND ELECTRONIC

I /We wish to receive dividend /interest directly in to my bank account as given below through ECS (If not marked, the default option would be'Yes') [ECS is mandatory for locations notified by SEBI from time to time) YES ◯ NO ◯

Re: Confirmation to receive the account opening document and welcome letter through email and digitally signed

With reference to my/our application for opening of a Demat account with you. I / We wish to receive all documents related to account opening documents, welcome letter and CMR copy (digitally signed) on the registered email id provided. None of these would be physically sent unless requested for the same.

MODE OF OPERATION FOR EXECUTION OF TRANSACTIONS (Transfer, Pledge & Freeze)

■ Jointly	■ Anyone of the Holder

Consent for Communication to be received by first account holder/ all Account holder: (Tick the applicable box. If not marked the default option would be **first holder**.

■ first Holder	■ All Holder	Email id
	☐ Second Holder	
	☐ Third Holder	

Thanking You, Yours faithfully

FH 6/20	SH 2/9	TH 2/9

Finwave™
Powered By: AIRAN FINSTOCKS PVT LTD
REGISTERED OFFICE: 1214-1216,12th floor, DSCCSL(53E),Road 5E,Block 53, Nr.Grand Mercure Hotel,ZONE 5,DTA,Gift City,Gandhinagar,Gujarat-382355
CORPORATE & COMMUNICATION OFFICE ADDRESS: 407, The Grand Mall, Opp. SBI Zonal Office, Ambawadi, Ahmedabad, Gujarat - 380 015.
Telephone: +91-79-40-222-605 / 609, Fax: +91-79-40-222-699, Email: demat@airanfinstocks.com | info@airanfinstocks.com

NOMINATION FORM

ACCOUNT DETAILS ADDITION / MODIFICATION / DELETION REQUEST FORM

DP ID 1 2 0 6 2 7 0 0	BO ID	UCC CODE
NOMINATION REGISTRATION NO.:	PLACE	DATE (DD/MM/YYYY)

I/We wish to make a nomination. [As per details given below]

Nomination Details

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

NOMINATION DETAILS	NOMINEE 1	NOMINEE 2	NOMINEE 3
* FIRST NAME			
MIDDLE NAME			
* LAST NAME			
* ADDRESS			
* CITY			
* STATE			
* PIN CODE			
* COUNTRY			
TELEPHONE NO.			
FAX NO.			
* PAN NO.			
*UID			
EMAIL ID			
* RELATIONSHIP WITH THE BO			
DATE OF BIRTH (Mandatory if nominee is a minor) dd-mm-yyyy			
NAME OF THE GUARDIAN OF NOMINEE (if nominee is a minor) * FIRST NAME			
MIDDLE NAME			
* LAST NAME			
* ADDRESS OF THE GUARDIAN OF NOMINEE			
* CITY			
* STATE			
* COUNTRY			
* PIN			
* AGE			

TELEPHONE			
FAX NO.			
EMAIL ID			
* RELATION OF THE GUARDIAN WITH THE NOMINEE			
* PERCENTAGE OF ALLOCATION OF SECURITIES			
* RESIDUAL SECURITIES [Please select any one nominee, if tick not marked the default will be first nominee]			
Guardian PAN / AADHAR NO.			

*** Marked is a mandatory field**

Note - Residual securities: In case of multiple nominees,the first nominee will be marked as nominee entitled for residual shares, remaining after distribution of securities as per percentage of allocation.

Nominee Identification details [Please tick any one of following and provide details of same]

[ ] Photograph & Signature [ ] PAN
[ ] Aadhar [ ] Saving Bank account no.
[ ] Proof of Identity

==

Guardian Identification details [Please tick any one of following and provide details of same]

[ ] Photograph & Signature [ ] PAN
[ ] Aadhar [ ] Saving Bank account no.
[ ] Proof of Identity

I/We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details/Particulars mentioned by me/us in this form. I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

* Opt out nomination Declaration ***

☐ I/We hereby confirm that I/We **do not wish to appoint any nominee in my demat account** and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents/information for claiming of assets held in my/our demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the demat account

Note:

(Signatures should be preferably in blue ink)

Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature in both the cases i.e. nomination /negative nomination

Details of the Witness	
	Witness Details
Name of witness	
Address of witness	
Signature of witness	

	First/Sole Holder or Guardian (in case of Minor)	**Second Holder**	**Third Holder**
Name			
Signatures			

Page with Signature

Demat Charges				
Particulars of Charges	**BSDA**	**OTC 555 (One Time Charges)**	**OTC 999 (One Time Charges)**	**Corporate**
Account Opening Charges	NIL	NIL	NIL	NIL
One Time Maintenance Charges (Non Refundable)	N/A	Rs. 555/-*	Rs. 999/-*	Rs. 2000/-*
Annual Maintenance Charges	Holding Value Up to 4 lakh- Nil Holding Value More than 4 lakh but up to 10 lakh - Rs. 100 Holding Value More than 10 lakhs –Not BSDA, Regular AMC	N/A	N/A	Rs. 800/-*
Transaction Charges				
Sell Market - Within AIRAN - Per ISIN	Rs. 20/-	Rs. 20/-	Rs. 15/-	Rs. 15/-
Sell Off Market (AIRAN DP or Other Than AIRAN DP)	Rs. 30/-	Rs. 30/-	Rs. 20/-	Rs. 20/-
Dematerialization Request	60/- upto 3 Certificate & 5/- Additional per Certificate + Postal Charges Min 50/- or actual	60/- upto 3 Certificate & 5/- Additional per Certificate + Postal Charges Min 50/- or actual	60/- upto 3 Certificate & 5/- Additional per Certificate + Postal Charges Min 50/- or actual	60/- upto 3 Certificate & 5/- Additional per Certificate + Postal Charges Min 50/- or actual
Rematerialization Request	Actual CDSL Charges + 50/-			
Charges for Pledge creation/closure/ invocation and Re-Pledge (per ISIN)	Rs. 50/- Per ISIN			
Margin Pledge	Rs. 12/- Per ISIN	Rs. 12/- Per ISIN	Rs. 10/- Per ISIN	Rs. 10/- Per ISIN
Margin Unpledge	Rs. 12/- Per ISIN	Rs. 12/- Per ISIN	Rs. 10/- Per ISIN	Rs. 10/- Per ISIN
Other Charges				
First Delivery Instruction Book (5 Leaves)	Free			
Every Additional DIS Booklet (5 Leaves)	Rs. 50/-			
ChequeDishonour Charges (Per Instance)	Rs. 150/-			
Account Details Modification Charges ***(Online)***	Nil			
Account Details Modification Charges ***(Offline)***	Rs. 30/-			
Payment Gateway Charges	Rs. 10/-			
Adhoc / Non Periodic Statement Requests				
By E-mail	Rs. 10/- Per Request			
Physical	10/- Per Request upto 2 Pages, Every Additional Page at Rs. 5/- (+ Courier Charges at Actual)			

Excluding Goods & Service Tax (GST)

Terms & Conditions:

- The Client availing auto Pay-In Facility has to provide DDPI in favor of "AIRAN FINSTOCKS PVT LTD" and DDPI Charges (Including Service Charges if Any) to be paid by the Client.
- For all the schemes, Contract Notes and Periodic statements will be delivered on registered e-mail Address.
- All the charges are Payable Upfront. The yearly interest of 13% for CDSL Demat Account will be charged on monthly basis on outstanding Amount.
- Goods & Service Tax (GST) is levied on the services as per Government norms.
- Auto Pay-In Trade charges will be debited to Broking / Demat Account.
- Charges quoted above are for the services listed. Any service not quoted above will be charged separately.
- Charges / Services standards are subject to revision at sole discretion of "AIRAN FINSTOCKS PVT LTD" with 30 Days prior notice to Clients. And as informed by communication sent by ordinary post / E-mail / SMS / Display on Website. Annual Maintenance Charges(AMC) is Non-Refundable.
- I have read and understood the above schedule of the charges and agree to abide by the same.

I have read and understood the above Schedule of Charges and agree to abide by the same.

I like to join Scheme: ____________________________

Your faithfully,

First/Sole Holder or Guardian (in Case Of Minor) Karta (incace of HUF)	Second Holder	Third Holder

BROKERAGE DETAILS

	Cash Segment		F&O Future		Currency Future		F&O Option			Currency Option		Mutual Fund	
	Min (Paise) A	Max (%) B	Min (Paise)	Max (%)	Min (Paise)	Max (%)	% on Premium		Min Per Lot ₹	% on Premium	Min Per Lot ₹	Min (Paise)	Max (%)
Trading 1st Leg							Nifty						
Trading 2nd Leg							Other Options						
One Side/Both Side													
Delivery Brokerage													

The Following Charges will be charged and collected from the client on the Contract note

a. Securities Transition tax, SEBI Turnovers fees, Exchange Transitions charges as applicable.

b. Statutory levies including but not limited to GST, Stamp Duty, Education Cess shall be lived As per the prevailing rates.

The Following charges will be charged and collected from the client in the client ledger or on the bill.

c. Interest on delayed payment.

d. Research report charges.

e. Demat charges for pay-in/pay-out of shares form Pool/Margin Account (on actual basis)

f. Short delivery / Auction charges (other than internal close out charges) upto 1.5 % on the value of scrip. Document handling charges-Upto Rs. 15 per contract note.

FH 10/20

ACKNOWLEDGEMENT LETTER

TO: **AIRAN FINSTOCKS PRIVATE LIMITED**

REGISTERED OFFICE : 1214-1216,12th floor,DSCCSL(53E),Road 5E,ZONE 5, Giftcity, Gandhinagar, Gujarat - 382355
CENTRAL PROCESSING OFFICE : 265, Landmark, Tokar Khada, Near Civil Court, Silvassa - 396230
CORPORATE OFFICE : 407, The Grand Mall, Opp. SBI Zonal Office, Ambawadi, Ahmedabad, GUJARAT-380015

Dear Sir or Madam,

I/We hereby acknowledge receipt of the following documents

1. Rights and Obligations of Stock Brokers, Sub-brokers,CDSL and Beneficial Owner & Depository Participant. (including additional rights & obligations in case of internet / wireless technology based trading/DDPI point).
2. Risk Disclosure Document for Capital Market and Derivatives Segments.
3. Guidance Note - Do's and Don'ts for Trading on the Exchange(s) for Investors.
4. Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009.
5. The AFPL Tariff sheet.
6. General Terms and Conditions governing securities trading and broking services of Airan Finstocks Pvt. Ltd.
7. All other mandatory and voluntary client registration documents.
8. I/We hereby acknowledge the receipt of duly executed copy of KYC and all other documents as executed by me/us.

Further I confirmed that the documents for KYC submitted by me are true and correct.

I/We understand that the Voluntary documents executed by me/us are out of my/our own free will.

I state that I have read and understood all above documents and these documents are binding upon me.

Yours faithfully,

FH 11/20	SH 6/9	TH 6/9

DATE (DD/MM/YYYY)

Voluntary Document

Confirmation for Other Terms & Condition

Dear Sir(s),

Sub: Confirmation for other Terms and Conditions

In consideration of having read and understood the contents of the 'Rights and Obligations' document(s), I further agree and confirm that:

1. Airan Finstocks Private Limited (hereinafter referred to as AFPL) may appoint from time to time any person(s)/ agency(ies) at its sole and absolute discretion to undertake the verification of my identity and address for conducting the due diligence, outsourcing of operational activities, including but not limited to, processing of any documents, printing of various statements/ reports relating to clients and/or for carrying out the acts mentioned in or in relation to this confirmation/ authorisation. I hereby give my consent to AFPL to undertake the verification of my identity and address, and to outsource any operational activity and/or to disclose all my information to such person(s)/agency(ies) as appointed by AFPL.
2. I hereby agree to allow the AFPL to record the conversations between me and/or my representative and AFPL. I specifically agree to rely upon the recorded conversations in the event of any dispute.
3. I hereby agree to pay such interest free security deposits as may be decided by AFPL from time to time.
4. AFPL and/or its employees/agents shall not be liable for the losses, if any, caused by me either directly or indirectly, by Government restriction, Stock Exchange or market rulings, suspension of trading, computer, communication, telephone or system failure, war, earthquake, flood, accident, power failure, equipment or software malfunction, strikes or any such unforeseen circumstances beyond the control of AFPL.
5. I hereby undertake to indemnify and keep AFPL, indemnified and harmless from and against all claims, demands, actions, proceedings, loss, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to AFPL directly or indirectly, owing to bad delivery of shares/securities and/or as a result of fake/forged/stolen shares/securities/ transfer documents that are introduced or that may be introduced by or through me during the course of my dealings/ operations on the Stock Exchange through AFPL.
6. All monies, securities, Fixed Deposit, bank guarantee or such other acceptable collaterals, which AFPL may hold on my account, shall be held subject to a general lien for the discharge of my obligations '* to AFPL under this confirmation/authorisation.
7. I shall deliver the securities or make payment of funds promptly for the transaction of sale/purchases of securities in order to honour my obligation to AFPL. AFPL shall not be responsible for auction of securities due to non-delivery or erroneous delivery of shares. I shall reimburse to AFPL, the difference in the price on auction, penalty and other charges, as levied by Stock exchanges, in case of non-delivery of securities sold by me/us through AFPL. I shall also reimburse to AFPL, the charges levied by the bank in case of dishonour of cheque due to non-availability of sufficient funds in my bank account.
8. I agree that I shall be solely responsible to ascertain the margin requirement, as specified by the Stock Exchange or AFPL, on my open/outstanding positions with AFPL and accordingly furnish the same without any delay.
9. I hereby authorise AFPL to withhold the securities bought by me and/or securities delivered by me as margin for non-receipt of payment due to AFPL from me. I further authorise AFPL to liquidate/close out all or any of my open/outstanding position and/or sell the securities retained by it for nbn-payment of dues/obligation by me at any time to recover the dues without giving any notice to me.
10. I hereby authorize AFPL to offer and deposit any and all my funds/securities placed /lying with them from time to time, either as margin monies or otherwise for securing the trades executed on my behalf, with the Stock Exchange(s) and/or the clearing corporation(s) of the Stock Exchange(s) and/or clearing member appointed by AFPL towards its margin requirement for availing exposure limits. I hereby declare and confirm that my funds/securities which are/shall in future be placed/lying with/transferred to AFPL are/shall be, and continue to be, solely and absolutely owned by me and be free from any and all charge, pledge, lien or encumbrance of any kind save and except for the encumbrance created in AFPL favour and that I have not done or caused to be done or knowingly suffered to be party or privy to anything done or caused to be done or suffered to be done whereby I am in any way prevented from transferring the said funds/securities to AFPL or any person nominated by AFPL in any manner.
11. In the event of any debit balance in my account with AFPL in any of the Stock Exchange, AFPL shall have absolute discretion to levy interest at the rate of 24% per annum on such outstanding amounts or part thereof or at such other lower rate as may be determined by AFPL. I hereby authorise AFPL to debit the amount of interest on delayed payment to my brokerage account with AFPL at such intervals as may be decided by AFPL. I further authorise AFPL to offset such interest due from me against the dues owned by AFPL.
12. AFPL reserves the right to refuse to execute any particular transaction, and it shall be at the discretion of AFPL.
13. I authorize AFPL to recover any liability or expenses incurred for any transactions entered into by AFPL on behalf of me/us.
14. I agree that I shall pay the stamp duty required to be paid on Contract Notes and on any other instrument required to be executed by AFPL and/or me for my transactions in pursuant of the broking services availed by me from AFPL. Further, without prejudice to the aforesaid, I agree to pay any additional stamp duty, if required to be paid on any of the said instruments, by virtue of the said instrument being received in any State other than the State where the instrument is executed. Notwithstanding, the aforesaid AFPL is entitled to pay the said stamp duties

on behalf of me and I hereby agrees to reimburse the same to AFPL.

15. I hereby give my consent and authorise AFPL to send/dispatch the Contract Notes, Bills, Confirmation Memos, Debit/Credit Notes, 'Daily Activity Statements and statement of funds and securities:
    - in electronic form through e-mail (to e-mail Id provided by me either in account opening form or communicated /replaced by me in writing at a later date and in accordance with the terms and conditions applicable, if any, in respect of the same); and/or
    - in physical form through post/courier/hand delivery.

    I hereby agree and undertake to report any discrepancy in any of the above documents to AFPL within a reasonable period of time from receipt of the said documents by me. In case, I do not report the discrepancy, if any, to AFPL in reasonable time, AFPL may presume that there is no discrepancy in the contents of any of the above documents including the rate & quantity of the shares/securities /contracts bought or sold and rate of the brokerage including other levies thereon and hence all the documents thus sent to me by AFPL are in order.
16. All notices or communication issued under this confirmation/ authorisation shall be served in any one or more or all of the following ways and such notice or communication shall be served at the ordinary business address and/or ordinary place of residence and/or last known address of mine in any one or more of the following ways:
    - by post,
    - by registered post,
    - by express delivery post,
    - by oral communication to the party or on the last known telephone number or on the recording machine of such number,
    - by advertising it in at least one prominent daily newspaper having circulation in the area where the last known business or residential address of me is situated,
    - by sending a message through trading system,
    - by electronic mail or fax,
    - by hand delivery.
17. Any communication sent by AFPL to me shall be deemed to have been properly delivered or served, even if such communication is returned to AFPL as unclaimed/ refused/ undelivered, if the same is sent to the ordinary business address and / or ordinary place of residence and / or last known address of mine, in any one or more of the ways as mentioned above. Notwithstanding anything stated above, communication relating to orders, margins, maintenance calls and other similar matters in the ordinary course of dealings between AFPL and me may be communicated orally.
18. I hereby agree, confirm and declares that in the event of my failing to make payment of consideration to AFPL in respect of any one or more securities purchased by me before the pay-in date notified by the Stock Exchange from time to time, AFPL may, at its absolute discretion, sell the securities received in pay-out, in proportion to the amount not received from me, after taking into account any amount lying to the credit of my account with AFPL, by selling equivalent securities at any time on any Stock Exchange. The loss or profit, if any, on account of the above transaction shall be to my account.
19. I hereby agree, confirm and declare that I shall deliver the securities sold by me only from my own Depository Account and not from the Depository Account(s) of others. I hereby further agree and confirm that in case the securities sold by me are delivered from the Depository Account of others or from my depository account not registered with AFPL then AFPL shall have a right to consider this delivery as non-delivery. In such an event I hereby authorizes AFPL to buy the equivalent securities in my account at the prevailing market rate and the resultant loss, if any, in this regard shall be to my account only.
20. I hereby agree that I shall not register as a Sub-Broker registered with SEBI or as an Authorized Person registered with Exchange without prior written approval of AFPL. I further agree and undertake that I shall not effect any third party transaction during the course of any of my dealings through AFPL. I undertake to indemnify AFPL against any losses/ damages suffered by AFPL if I indulge in any third party transaction or sub-broking/Authorized Person business without its prior written approval.
21. I have noted that I will be provided a login and password to access the back-office of AFPL whereby, I can access various documents/details pertaining to my account viz, Digitally Signed Contract Notes, Statement of Account, Statement of Margin & Securities and other documents. I further agree and confirm to the following terms in this regard:
    a. The term Portal shall mean any Internet portal or Website on which I can view the documents after logging in by using the Login Id and Password provided by AFPL.
    b. I shall be responsible for keeping my password secret and not to make it available to any other person to prevent misuse of information meant for me.
22. General Clauses
    a. I acknowledge that AFPL shall not be liable to provide me with \ any legal, tax, investment or accounting advice or advice regarding the suitability or profitability of a security or investment.
    b. I agree that in the event of AFPL or any employee or official of AFPL providing any information, recommendation or advice to me, I may act upon the same at the sole risk and cost of me, and AFPL shall not be liable or responsible for the same.
    c. I assume full responsibility with respect to my investment decisions and transactions.
    d. AFPL, its officers, directors, partners, employees, agents and affiliates will have no liability with respect to any investment decisions or transactions of me/ours.

e. I understand that the Stock Exchange asserts a proprietary interest in all of the market data it furnishes, directly or through AFPL or otherwise. I understand that the Stock Exchange does not guarantee the timeliness, sequence, accuracy or completeness of market data or any other market information, or any messages disseminated by it. Neither AFPL nor the Stock Exchange shall be liable in any way for incorrect, misleading, incomplete or dated data or information and, if I act on the basis of the same, I shall do so at my own risk and cost.

f. I shall not furnish market information provided by the Stock Exchange to any other person or entity for consideration or otherwise and in the event I use such information I shall do so at my own risk and cost.

g. In the event of any provisions of this confirmation/ authorisation being held to be or becoming invalid, , unenforceable or illegal for any reason, this confirmation/ authorisation shall remain otherwise in full force apart from the said provision, which will be deemed deleted. I & AFPL shall however attempt to replace the deleted provision with a legally valid provision that reflects the same purpose as the deleted provision to the greatest extent possible.

h. No forbearance, relaxation or inaction by me or AFPL at any time to require the performance of any provision of this confirmation/authorisation shall in anyway affect, diminish, or prejudice the right of such party to require the performance of that or any other provision of this confirmation/authorisation or be considered to be a waiver of any right, unless specifically agreed in writing.

I. The client, on transferring securities into the demat account of AFPL, forgoes his / her / its right to vote on the resolutions proposed by the issuing Company. AFPL shall not execute a Postal Ballot and / or Vote for or on behalf of the client and / or any other party at the request of the client. Neither would AFPL issue a Proxy in favour of the client and / or another party at the request of the client. It is the duty and responsibility of the client to withdraw the Securities well before the Book Closure / Record Date as declared by the issuing Company.

j. Terms and Condition applicable to various trading facilities:

The various trading facilities such as After Market Order (AMO Order), Cash N Collateral Order (CNC Order), Cover Order (CO Order), Bracket Order (BO Order), Margin Intraday Square-off (MIS), Normal/Margin based Trading (NRML), etc. are provided in the off-line as well as on-line trading system.

The Company may introduce more such new trading facilities from time to time. Any such trading facilities are provided on a best effort basis. The client opting to use the same shall do at his/her/its own risk and consequences. There is no assurance or guarantee that the desired result will be achieved from use of any of the such trading facilities. If for any reason, the said facility(ies) is/are not working or not providing the desired result, the Company cannot be held responsible and will not be liable for any losses, either actual or notional, to the client

- In case of those trading facility which are providing feature of putting a Stop Loss order, there is no assurance or guarantee that the Stop Loss order will be executed at the Stop Loss trigger price. The loss may be more than envisaged due to sharp fall in the prices of the security. In such situation if the client need to bring money to meet the losses then he/she/it shall bring the same forthwith, failing which the Company shall liquidate the open positions/ collaterals to recover the dues. If still the debit remains in the client's accoJnt then the client need to clear the same. There is no assurance or guarantee that the Company will square-off the position in case if the Stop Loss order does not get placed at the Stop loss trigger price.
- Intra-Day trading facilities like MIS, Cover Order, Bracket Order are high leverage trading product and are suitable for only those clients who have high risk taking appetite and not suitable for moderate or low risk taking clients. The auto-square off facility in Trading facilities is provided on a best effort basis. Square-off order may or may not be placed or may not be executed. In case if auto-square off facility provided to the client in any of the trading facility does not initiate auto-square off order, then the Company cannot be held liable or responsible. The client needs to monitor their open positions and take the necessary action at his/her/its end to close the position before the market closes.
- The Company shall have sole discretion to decide on securities and segments in which the trading facilities can be offered.
- The Company reserves the right to change the list of securities offered under each of the trading facilities, discontinue/change features/functions of any of the trading facilities, either in part or full, at any time without giving notice to the client.
- Due to technical issues, there may be delay in placing the order in the exchange trading system or the order may not be placed at all in the trading system. The Company shall not be responsible and/or liable for the same.* In case of limit order placed through using any of the trading facilities, due to price volatility and price gap the limit order may not get executed. The Company shall not be responsible and/or liable for the same.
- The features of various trading facilities available in the trading system are made available on the website of the company and in the on-line trading system. The client must go through the same and understand each of the features of those trading facilities before opting for the same. The client must also review its investment objective before opting for any of the trading facility and accordingly select the one which suits the best to his/her/its investment profile.
- By using any of the trading facilities, it will be deemed that the client has understood the features, benefit, risk involved and agrees to the terms and conditions applicable for use of the said trading facilities.

23. Internet Based Trading (IBT): -I confirm that I am aware and acknowledge that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. which are susceptible to interruptions and dislocations; and AFPL's IBT Service may at any time be unavailable without further notice. AFPL and the Stock Exchange. do not make any representation or warranty that AFPL's IBT Service will be available to me at all times without any interruption. I agree that I shall not have any claim against the Stock Exchange or AFPL on account of any suspension, interruption, non- availability or malfunctioning of the AFPL's IBT System or IBT Service or the Stock Exchange's service or systems for any reason whatsoever.

24. I have the required legal capacity to, and I am authorised to, execute this confirmation/authorisation and is capable of performing my obligations and undertakings hereunder.

25. All actions required to be taken to ensure compliance of all the transactions, which I may enter into pursuant to this confirmation/authorisation with all applicable laws, shall be completed by me prior to such transaction being entered into.

26. Payment Gateway facility (PG): The Payment Gateway facility provides me to transfer funds from my bank account to AFPL's bank account. The Payment gateway facility will be available through such designated bankers as may be identified by AFPL at its sole discretion from time to time. AFPL shall endeavor to provide through the payment gateway facility such services as AFPL may decide and reserve from time to time and which shall vary from client to client. I agree that I shall not use or permit to use the payment gateway facility for any illegal/improper services for making any payment either online or otherwise. I shall utilize the payment gateway facility for transfer of funds for the purpose of transactions effectuated through AFPL. I shall comply and observe the applicable laws and regulations in each jurisdiction. I shall not make AFPL as a party to such transaction. I shall not at any point of time provide to any other person with any details of the accounts held by me with AFPL including the Password, bank account number that may be assigned to me by AFPL from time to time. In the event if I provide the said information to any other person as aforesaid then I shall be solely responsible and not AFPL in any manner. The transactions carried out through the payment gateway facility in my account shall be permitted only after the authentication of the Username and Password. I agree that I grant express authority to AFPL or the bank to carry out the transactions performed by them through the payment gateway facility.

27. Mutual Fund Trading platform: In connection with the facility for trading in Mutual Fund units, I hereby agree to the below mentioned terms and conditions:

    a. I am willing to abide by the terms and conditions as may be specified by the Stock Exchanges from time to time in this regard.

    b. I shall also ensure compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

    c. I have satisfied myself of the capacity of AFPL to deal in Mutual Fund units and wishes to execute my instruction through AFPL and I shall from time to time continue to satisfy myself of such capability of AFPL before executing transacting through AFPL.

    d. I shall be bound by circulars issued by the Stock exchanges, Rules, Regulations and circulars issued thereunder by SEBI and relevant notifications of Government authorities as may be in force from time to time.

    e. I shall notify AFPL in writing if there is any change in the information in the 'client registration form1 provided by me to AFPL at the time of registering as a client for participating on the Mutual Fund Trading platform or at any time thereafter.

    f. I shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, agenda issued regarding each Mutual Fund Schemes with respect to which I choose to subscribe/ redeem. I further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

    g. I shall submit to AFPL a completed application form in the manner prescribed format for the purpose of placing a subscription order with AFPL.

    h. I have read and understood the risks involved in investing in Mutual Fund Schemes.

    i. I shall be wholly responsible for all my investment decisions and instruction.

    j. I shall ensure continuous compliance with the requirements of the Stock Exchanges, SEBI and AMFI.

    k. I shall pay to AFPL fees and statutory levies as are prevailing from time to time and as they apply to my account, transactions and to the services that AFPL renders to me.

    l. I will furnish information to AFPL in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against me or if any litigation which may have material bearing on my capacity has been filed against me.

    m. In case of any dispute between AFPL and me arising out of the transaction on Mutual Funds trading platform, the Stock Exchanges and its Clearing Corporation agrees to extend the necessary support for the speedy redressal of the disputes.

    n. The facility provided by AFPL is to facilitate me/us with mutual fund trading and the same shall not be construed as a recommendation or solicitation of any scheme/product/ business.

    o. AFPL, while providing the facility, may use or rely upon any information/data, including Net Asset Value (NAV) of the different mutual fund schemes, obtained from the sources which AFPL believes to be reliable as to the accuracy or completeness but over which J MFS may not have any control. AFPL does not take any responsibility or claim for any accuracy of such information/data contained/to be contained therein.

p. I shall be responsible to ensure proper use of the facility and maintain confidentiality of the login & password details, as provided by AFPL.

q. I am aware about the risk involved in the investment in capital market and I shall take an informed decision in accordance with my/our risk appetite, investment objectives etc.

r. Under no circumstances shall AFPL and/or any of its directors, employees, affiliates shall be held liable for any loss, damages and/or liability that may arise out of the use of the data/information as provided through the facility,

s. The availability of the facility is solely at the discretion of AFPL and AFPL reserves its right to terminate the facility or any feature of the facility at any time without stating any reason whatsoever for termination.

28. **Authority to debit Demat Charges:**

CL ID: .... CL Code:.................................

I hereby authorize you to debit all the charges, if any, payable by me in respect of my Demat account opened/to be opened with you to my Trading Account maintained with you, at your discretion. I hereby declare and undertake to remit the amount of debit to my Trading Account within 15 days of I being notified about the same. I hereby further authorize you to charge interest at a rate up to 24% p.a. on my outstanding amount beyond such period of 15 days. Additionally, I authorize you to create a lien over the securities lying in my Demat Account with you till such time I remit the outstanding amount in full.

I / We hereby undertake not to revoke this authority without your prior written approval. I / We specifically agree and confirm that any matter of issue arising hereunder shall be governed by and construed exclusively in accordance with the Indian laws and shall be subject to the jurisdiction of the courts of Ahmedabad only.

29. Request for receiving Short Messaging Service (SMS) alerts: As a value added service to your clients you are offering a facility of receiving SMS in connection with my account like details of trade executed, margin details, ledger balances or scrip/market information or recommendations/trading calls for any Scrip/Company/Sector or any other information, at the sole discretion of the Company. I wish to avail the said facility from you and request you to register my mobile phone number, as mentioned on Part A as for the same.I have read, understood and agree to the below mentioned terms and conditions governing the said SMS facility

1. The service will be provided on best effort basis, at the sole discretion of the company. I shall not hold AFPL liable for non availability of the service in any manner whatsoever.
2. The service may be discontinued with or without issuing any prior notice for any reason whatsoever.
3. I am responsible for intimating any change in mobile number to the Company in writing, on which I wish to receive the SMS. In case of change in mobile number not intimated to AFPL, the SMS will continue to be sent to the last registered mobile phone number. I agree to indemnify AFPL for any loss or damage suffered by it on account of SMS sent to such mobile number.
4. I acknowledge that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity, network and its performance, handset configuration etc. AFPL shall not be responsible for any non - delivery, delayed delivery or distortion of the SMS in any way whatsoever. I shall not hold the AFPL liable for any loss, damages, etc. that may be incurred / suffered by me on account of opting to avail SMS facility.
5. Any information provided through this facility should not be treated as on offer or solicitation of an offer to buy/sell the securities. AFPL or its directors or employees or associates may deal as principal or may have bought/sold the securities mentioned in the SMS. Any of the associates of AFPL may have business relationships or other interest with the issuers of the securities mentioned in the SMS.
6. AFPL or its employees or directors or its associates shall not be liable for any losses arising from the use of data/information provided in the SMS and accept no responsibility for contents and /or source of information provided in SMS and the clients should take their own decisions based on their specific investment objectives and financial position.
7. The SMS should not be reproduced/re-sent or distributed to or used by the public media or any other person without written consent from AFPL.
8. The SMS shall be deemed to have been received by the client and AFPL shall not be under any obligation to confirm the authenticity of the person(s) receiving the SMS. AFPL will not be liable for any unauthorized use or access to the SMS sent on the mobile phone number of the client or for fraudulent, duplicate or erroneous use/misuse of such information by any third person.

CLIENT'S NAME
FH 12/20

PART A : INTERNET & WIRELESS TECHNOLOGY BASED TRADING FACILITY PROVIDED BY STOCK BROKERS TO CLIENT (ALL THE CLAUSES MENTIONED IN THE 'RIGHTS AND OBLIGATIONS' DOCUMENT(S) SHALL BE APPLICABLE. ADDITIONALLY, THE CLAUSES MENTIONED HEREIN SHALL ALSO BE APPLICABLE)

1. Stock broker is eligible for providing Internet based trading (IBT) and securities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with data card, etc. which use Internet Protocol (IP). The stock broker shall comply with all requirements applicable to internet based trading/ securities using trading wireless technology as may be specified by SEBI & the Exchanges from time to time
2. The client is desirous of investing/ trading in securities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for securities trading through use of wireless technology. The Stock broker shall provide the Stock broker's IBT Service to the Client, and the Client shall avail of the Stock broker's IBT Service, on and subject to SEBI/ Exchanges Provisions and the terms and conditions specified on the Stock broker's IBT Web Site provided that they are in line with the norms prescribed by Exchanges/ SEBI.
3. The stock broker shall bring to the notice of client the features, risks, responsibilities, obligations and liabilities associated with securities trading through wireless technology/ internet/ smart order routing or any other technology should be brought to the notice of the client by the stock broker.
4. The stock broker shall make the client aware that the Stock Broker's IBT system itself generates the initial password and its password policy as stipulated in line with norms prescribed by Exchanges/SEBI.
5. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through the Stock broker's System IBT using the Client's Username and/ or Password whether or not such person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/securities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of the stock broker.
6. The Client shall immediately notify the Stock broker in writing if he forgets his password, discovers security flaw in Stock Broker's IBT System, discovers/ suspects discrepancies/ unauthorized access through his username/ password/account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use, etc.
7. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/ securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username/ password in any manner whatsoever.
8. The stock broker shall send the order/ trade confirmation through email to the client at his request. The client is aware that the order/ trade confirmation is also provided on the web portal. In case client is trading using wireless technology the stock broker shall send the order/ trade confirmation on the device of the client
9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Stock broker and the Exchange do not make any representation or warranty that the Stock broker's IBT Service will be available to the Client at all times without any interruption.
10. The Client shall not have any claim against the Exchange or the Stock broker on account of any suspension, interruption, non availability or malfunctioning of the Stock broker's IBT System or Service or the Exchange's service or systems or non execution of his orders due to any link/ system failure at the Client/ Stock brokers/ Exchange end for any reason beyond the control of the stock broker/ Exchanges

CONSENT LETTER FOR RECEIVING ALERTS/TRADING CALLS ON MOBILE

I/We hereby give my/our consent to AFPL to give me/us Alerts, Trading Calls, Research Reports, News, Live Updates or any other information on my Email ID given earlier for Electronic Communication and also on my registered mobile number.

This shall not be treated as violation of any DND or any other similar rules applicable from time to time. The number may be given to the exchange database also. Further, I /we undertake to AFPL and confirm to use my/our own judgment in taking a view and execute trade in the identified security(ies) according to my/our financial strength/capabilities and shall not hold AFPL responsible for any loss suffered by me/us on account of executing or omitting to execute any trades in pursuance of such communication and/or investment advises sent by AFPL. I/We further declare that the above mentioned statement is true and correct.

Yours faithfully,

CLIENT'S NAME	
FH 13/20	DATE (DD/MM/YYYY) _ _ _ _ _ _ _ _

LETTER FOR AUTHORIZATION FOR SENDING CONTRACT NOTES, STATEMENT OF FUNDS & SECURITIES ETC. ELECTRONICALLY

TO: **AIRAN FINSTOCKS PRIVATE LIMITED**

REGISTERED OFFICE : 1214-1216,12th floor,DSCCSL(53E),Road 5E,ZONE 5, Giftcity, Gandhinagar, Gujarat - 382355
CENTRAL PROCESSING OFFICE : 265, Landmark, Tokar Khada, Near Civil Court, Silvassa - 396230
CORPORATE OFFICE : 407, The Grand Mall, Opp. SBI Zonal Office, Ambawadi, Ahmedabad, GUJARAT-380015

SUB: Authorization for Electronic Contract Notes

I/We have been/shall be dealing through you as my/our broker on the Capital Market and/or Futures & Options and/or Currency Derivatives and/or Interest Rate Futures Segments. As my/our broker i.e. agent I/We direct and authorize you to carry out trading/dealings on my/our behalf as per instructions given below.

I/We understand that, I/We have the option to receive the contract notes, statement of funds, circulars, amendments, Client Registration documents and such other documents in physical form or electronic form. In pursuance of the same, I/We hereby opt to receive all such documents in electronic form. I/We understand that for the above purpose, you are required to take from the client "an appropriate email account" for you to send the Emails.

Accordingly, please take the following email account /email id on your record for sending the contract notes, statement of funds, circulars, amendments, Client Registration documents and such other documents to me:

EMAIL ADDRESS (As mentioned on Page 3): ________________________________

Any change in the E-mail ID shall be communicated by me/us in writing to your customer care department or through secured login available on your website.

Further, to my/our authority to you to issue Contract Notes in digital format I/We authorize you not to provide me/us Order Confirmation / Modification / Cancellation Slips to avoid unnecessary paper work. I/We shall get the required details from the Contract Note issued to me/us.

I/We authorize you send periodic statements of funds and stocks & margin statement etc. in digital format at my Email address unless I/We request you in writing to send them in paper form.

I/We agree not to hold you responsible for late/non-receipt of contract notes sent in electronic form and any other communication for any reason including but not limited to failure of email services, loss of connectivity, email in transit etc.

I/We hereby acknowledge that all the documents as send above by AFPL electronically will be considered as having delivered to me/us once the e-mail leaves the AFPL e-mail server unless the same is rejected by client e-mail server and bounced mail notification is not received by AFPL.

I/We agree that the log reports of your dispatching software shall be a conclusive proof of dispatch of contract notes to me and such dispatch shall be deemed to mean receipt by me and shall not be disputed by me on account of any non-receipt/delayed receipt for any reason whatsoever.

I/We further agree that in the absence of communication from my/our end regarding non-receipt of documents through e-mail, or notification of any discrepancy within 2 days from the date of issuance of digital contract note through e-mail, AFPL may construe that there is a deemed acknowledgement of the document(s) send to client electronically.

CLIENT'S NAME	
FH 14/20	DATE (DD/MM/YYYY) __|__|__|__|__|__|__|__

AUTHORIZATION FOR RUNNING ACCOUNT

TO: **AIRAN FINSTOCKS PRIVATE LIMITED**
REGISTERED OFFICE : 1214-1216,12th floor,DSCCSL(53E), Road 5E,ZONE 5, Giftcity, Gandhinagar, Gujarat - 382355
CENTRAL PROCESSING OFFICE : 265, Landmark, Tokar Khada, Near Civil Court, Silvassa - 396230
CORPORATE OFFICE : 407, The Grand Mall, Opp. SBI Zonal Office, Ambawadi, Ahmedabad, GUJARAT-380015

I/We confirm that I/We am/are desirous of regularly dealing in Capital Market, Derivative Market, Currency Market and Commodity Market segments of the stock exchange(s). I/We request you to maintain the account, both for stocks and funds, with you on a running account basis. I/We also request you to consider the balances in my/our running funds and stocks account for the purpose of margins/any other of my/our obligations due to you. I/We understand and agree that no interest will payable to me/us on the amounts of stocks so retained by you.

I/We agree and empower/authorize you

a. to act in your discretion of merging balance kept under various accounts held with the you ,such CDSL DP account, CM trading account , F&O Trading Account ,Currency Trading Account , online IPO/MF Account and MFSS etc., to nullify the debit in any of my/our other account held with you without taking any further instructions from me/us;

b. to merge the stocks kept with you in various accounts in order to nullify the debit in any account of my/our account ;

c. to withhold the credit of stocks to my/our demat account if any sum is due from me/us;

d. to withhold the stocks to meet my/our liabilities to you under the trading agreement ;

e. to debit my/our trading account towards depository charges payable by me/us to the designated depository participant and make onward payment to the designated depository participant upon receipt of intimation from the designated depository participant ;

f. to block stock against pending order of pledge stocks in your favour against any of my/our dues;

I/we have the liberty to revoke this authorization at any time in writing with prospective effect.

While setting the account you will be sending me/us a 'statement of account', containing an extract from the client ledger for funds and an extract from register of stocks displaying all receipts/deliveries of funds/stocks .The statement shall also explain the retention of funds/stocks and the details of the pledge, if any. Such periodic settlement of the running account shall not be necessary when (a) I start availing margin trading facility as per SEBI circulars; or (b) the margin provided by me to you is in the form of Bank Guarantee (BG)/fixed Deposit receipt (FDRs).

On actual settlement date you may retain the requisite stocks/funds towards any outstanding obligation and may also retain additional margin requirement on the day of settlement to take care of my margin obligation arising in the next 5(five) trading days, calculated in the manner specified by respective Exchanges.

I will bring any discrepancy of dispute arising from the statement of account so issued by you of the settlement made by you to your notice preferably within 7(seven) working days from the date of receipt of funds / stocks or statement as the case may be.

I/We understand that, unless otherwise required to meet my obligations for margin or on settlement you shall transfer the funds/stocks lying with you in credit of my/our account within one working day and those lying with the clearing Member or Clearing Corporation within 3(three) working days of my request for transfer.

My/Our preference for actual settlement of funds and stocks is at least:

◯ Once in a Calendar Quarter ◯ Once in a Calendar Month

I/We further authorize you to retain an amount of up to Rs. 10,000/-(net amount across segment and across stock exchanges) in order to avoid administrative/operational difficulties in setting my/our account. The same can be release on my/our specific request

Please score out whatever not accepted /not agreed with.

CLIENT'S NAME	
FH 15/20	DATE (DD/MM/YYYY) __|__|__|__|__|__|__|__

CONSENT LETTER FOR AVAILING BSE StAR MF FACILITY

I/We ______________________________ am/are registered as your client with Client Code No.______________ and have executed the Account Opening documents (KYC Form) for the purpose of trading in the Capital Market segment of BSE Limited (BSE). I/We am/are interested in availing the BSE StAR facility of BSE for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the BSE StAR MF of the Exchange.

For the purpose of availing the BSE StAR MF facility, I/we state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of BSE StAR MF and I/we further confirm that the details contained in same remain unchanged as on date.

I/We are willing to abide by the terms and conditions as mentioned in the BSE Circular dated December 02,2009 and as may be specified by the Exchange from time to time in this regard.

I/We shall ensure compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India (SEBI) and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund schemes.

I/We therefore request you to register me/us as your client for participating in the BSE Star Mf.

I/We hereby confirm having read and understood the terms & conditions and disclosures provided overleaf.

Your faithfully,

CLIENT'S NAME	
FH 16/20	DATE (DD/MM/YYYY) __|__|__|__|__|__|__|__

ARRANGEMENT WITH SUB-BROKER / AURTHRISED PERSON

I/We confirm that I/We have been introduced to **"AIRAN FINSTOCKS PVT LTD "** (hereinafter referred to as **"AFPL"**) by a Sub-Broker (SB) / an Authorised Person (AP) (as per mentioned below) appointed by AFPL pursuant to relevant Exchange(s) norms. In this connection I/We am/are aware of the following: The SB/AP shall not receive or pay any money or securities in his/her/its own name or account. All receipts and payments of securities and funds shall be made only in the name of the AFPL; The SB/AP shall receive his/its remuneration fees, charges, commissions, salary etc for his/its services only from the AFPL and he/she/it shall not charge any amount whatever from the client; In view of the foregoing, I/We acknowledged that the SB/AP is not authorized by AFPL to enter into any private arrangement with any clients of AFPL including those introduces by him/her/its.

I/We further agree and accept that I/We will not hold AFPL responsible for any loss, damage, other liability or consequence, direct or indirect, arising out of any error of commission or omission by the SB/AP with regard to any dealing and or transaction based on or transactions base on or resulting out of any private arrangements (if any) between me/us and the SB/AP.

I/We agree that all debit instruction slips and other documents in respect of my/our depository Beneficial Owner account with **AIRAN FINSTOCKS PVT LTD (AFPL)** shall not be left in the custody of the SB/AP, either blank, partially filled up and/or executed /signed. I/We further agree and accept that I/We will not hold AFPL responsible for any loss, damage, other liability or consequence, direct or indirect, arising out of my/our action in leaving such blank, partially filled up and/or executed/signed documents with SB/AP.

CLIENT'S NAME	
FH 17/20	I/We hereby agrees for the arrangement with the Client SB/AP Name: ____________________ SB/AP Signature: ____________________

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REGISTERED OFFICE: 1214-1216,12th floor,DSCCSL(53E),Road 5E,Block 53,ZONE 5,DTA,Gift City,Gandhinagar,Gujarat-382355
Telephone: +91-79-40-222-605 / 609, Fax: +91-79-40-222-699, **Email:** demat@airanfinstocks.com | info@airanfinstocks.com
CORPORATE & COMMUNICATION OFFICE ADDRESS: 407, The Grand Mall, Opp. SBI Zonal Office, Ambawadi, Ahmedabad,- 380 015.

DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI) (VOLUNTARY)

(To be executed on stamp paper of Rs. 300/-)

I/We _ (Name of the BO)

_ (full address),

hold Beneficiary account no. 12062700_ _ _ _ _ _ _ _ _ _ _ _ _ (BO-ID) with AIRAN FINSTOCKS PRIVATE LIMITED

(a depository participant registered with CDSL having registered office at 1214-1216,12th floor,DSCCSL(53E), Road 5E,Block 53,Nr.Grand Mercure Hotel,ZONE 5,DTA,Gift City,Gandhinagar, Gujarat-382355 and corporate office at 407,The Grand Mall Opposite Sbi Zonal Office Ambawadi,Ahmedabad Gujarat India-380015 and processing unit at 1/2/2 Shop no.265, 2nd Floor BIDG No.A1,Tokarkhada,Silvassa,Dadra Nagar Haveli,Gujarat India -396230)

Bearing DP-ID **12062700** and whereas I/We are registered as a client with AIRAN FINSTOCKS PRIVATE LIMITED having UCC _____________ (trading member of Bombay Stock Exchange (BSE) , National Stock Exchange of India Ltd (NSE) & Multi Commodity Exchange of India Ltd. (MCX) for dealing in securities market).

I/WE ALL THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE / AND APPOINT M/s AIRAN FINSTOCKS PRIVATE LIMITED, as my/our behalf and in my/our name to Pledge /re-pledge/transfer the Securities to the Demat Account of Trading Member mentioned in Annexure herewith:

Sr No	Purpose	Signature of Client (1st Holder)	Signature of Client (2nd Holder)	Signature of Client(3rdHolder)
1	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker.	✗		
2	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	✗		
3	Mutual Fund transactions being executed on stock exchange order entry platforms and which shall be in compliance with SEBI circulars SEBI/HO/IMD/IMD-I DOF5 /P/CIR/2021/634 dated October 04, 2021, SEBI/HO/IMD/IMD-I DOF5/P/CIR/ 2021/ 635 dated October 04, 2021 and SEBI/ HO/IMD/IMD-I DOF5/P/CIR/2022/ 29 dated March 15, 2022 or any other circular which may be issued in this regard;	✗		

4	Tendering shares in open offers which shall be in compliance with SEBI circular SEBI/HO/CFD/DCR-III/CIR/P/2021/615 dated August 13, 2021 or any other circular which may be issued in this regard.			

I/We further agree and confirm that the powers and authorities conferred by this DDPI mandate shall continue to be good, valid and effective until revoked by me/us by written intimation given to Airan Finstocks Private Limited DP at its Ahmedabad H.O. and that the DDPI mandate shall not be affected by lapse of time. This DDPI mandate shall continue until it is revoked at any point of time (without notice).

Annexure

DP Name	Demat Account No.	Account Name / Type
AIRAN FINSTOCKS PVT LTD	12062700 00000225	NSE - POOL ACCOUNT
AIRAN FINSTOCKS PVT LTD	11000011 00017860	NSE - EARLY PAYIN ACCOUNT
AIRAN FINSTOCKS PVT LTD	12062700 00091907	NSE - CLIENT CMPA ACCOUNT
AIRAN FINSTOCKS PVT LTD	12062700 00000151	BSE - POOL ACCOUNT
AIRAN FINSTOCKS PVT LTD	11000010 00020731	BSE - EARLY PAYIN ACCOUNT
AIRAN FINSTOCKS PVT LTD	12062700 00000166	BSE - PRINCIPAL ACCOUNT
AIRAN FINSTOCKS PVT LTD	12062700 00129830	CUSPA ACCOUNT

I/We accept (For AIRAN FINSTOCKS PRIVATE LIMITED)

Authorised Signatory:

Date:

1st Holder Signature   2nd Holder Signature   3rd Holder Signature

Note: In case of any correction in the form - Sign next to the correction done & sign has to match the original signature

If HUF, Co-parceners have to sign below.

Co-parceners Name	Co-parceners Signatures	Co-parceners Name	Co-parceners Signatures

FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

Please fill the information below as requested	FIRST ACCOUNT HOLDER	SECOND ACCOUNT HOLDER	THIRD ACCOUNT HOLDER
Name of the Account Holder			
Indicate () your Tax Residency / Citizenship / Nationality	☐ India ☐ U.S.A. ☐ Others	☐ India ☐ U.S.A. ☐ Others	☐ India ☐ U.S.A. ☐ Others
If ticked on "Others" and/or "U.S.A.", please provide all details under point no. 2,3,4,5 below:			
Specify City and Country of Birth			
Specify Country(ies) of Tax Residency/ Citizenship / Nationality / Green card holder, other than India			
Tax Identification Number (for U.S.A.) or its functional equivalent (other than U.S.A.)			
Source of Wealth	☐ Salary ☐ Business ☐ Gift ☐ Rental Income ☐ Royalty ☐ Ancestral Property ☐ Prize Money ☐ Others	☐ Salary ☐ Business ☐ Gift ☐ Rental Income ☐ Royalty ☐ Ancestral Property ☐ Prize Money ☐ Others	☐ Salary ☐ Business ☐ Gift ☐ Rental Income ☐ Royalty ☐ Ancestral Property ☐ Prize Money ☐ Others

DECLARATION

I / We hereby declare, agree and confirm the following:

a) The details furnished above are true to the best of my knowledge and belief and shall undertake to inform Airan Finstocks Private Limited within 30 days, in case of any change in the above given status on a future date;

b) If I /we am/are U.S. person or tax resident of a reportable foreign jurisdiction (other than U.S.), my account details, would be reported by Airan Finstocks Private Limited to the relevant tax authority. or information may be shared with concerned Asset Management Companies (AMCs) or to any of the Government Agencies / Tax authorities / Regulators / Exchanges / Depositories of India or of any country other than India;

FH 20/20	SH 9/9	TH 9/9

What is FATCA / CRS?

The U.S. government introduced the Foreign Account Tax Compliance Act, 2010 (FATCA) for obtaining information on accounts held by U.S. taxpayers in other countries. Further, Organization for Economic Co-operation & Development (OECD) and G20 countries agreed for automatic exchange on information through Common Reporting Standards (CRS). The Government of India has signed an Inter-Government Agreement (IGA) with US and has also joined the Multilateral Competent Authority agreement (MCAA) for automatic sharing of information with member countries of OECD and G20. By virtue of India signing an IGA with US and joining MCAA, Indian financial institutions will have to provide the required financial information to Indian tax authorities which in turn would forward reportable information to US IRS and member countries of OECD and G20 countries.

US Person means: In case of individuals, U.S. person means a citizen or resident of the United States. Persons who would qualify as U.S. persons could be born in United States, born outside the United States of a US parent, Naturalized citizens, Green Card Holders, tax residents.

Who is Reportable Person (Non US) under Common Reportable Standards (CRS)?

Under Common Reportable Standards (CRS), reportable person means Tax residents of a reportable foreign jurisdiction other than U.S. (Please note the above information is provided only for quick reference to customers. You are requested to consult a legal/ tax advisor if in doubt.)

Documents to be collected if Customer's Country of birth is U.S. but declare that he/ she are not a U.S. person

1. Certificate of relinquishment of citizenship (Loss of nationality certificate); OR
2. Self certification for stating reasons for not having such a certificate despite relinquishment U.S. citizenship; OR
3. Self certification for stating reasons for not obtaining U.S. citizenship at birth.

REGISTERED OFFICE: 1214-1216,12th floor, DSCCSL(53E),5E,53,Gift City,Gandhinagar, Gujarat-382355
CORPORATE & COMMUNICATION OFFICE ADDRESS: 407, The Grand Mall, Opp. SBI Zonal Office, Ambawadi, Ahmedabad, Gujarat - 380 015.
Telephone: +91-79-40-222-605 / 609, Fax: +91-79-40-222-699, Email: demat@airanfinstocks.com | info@airanfinstocks.com

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

Annexure A

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.

2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.

3. The stock broker s Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.

4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.

5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).

6. You will get a contract note from the stock broker within 24 hours of the trade.

7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.

8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.

9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.

10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

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Family Declaration Form

To,
Airan Finstocks Private Limited
Communication Office Address: 407, The Grand Mall,
Opp. SBI Zonal Office, Ambawadi, Ahmedabad-380015.

Dear Sir/Madam,

We have/had Given my KYC form or Modification Form to Open Demat/Trading Account or Request for Changes in Email ID/Mobile Number with Airan Finstocks Private Limited.

Also, our below mentioned family Member(s) has/had given his/her KYC form or Modification Form to Open Demat/Trading Account or Request for Changes in Email ID/Mobile Number with Airan Finstocks Private Limited.

Sr. No.	**BO ID**	**Trading Code**	**Name**	**Relation**	**Signature**
1					
2					
3					
4					
5					
6					

We would like to Register the same Email id i.e.____ and/or Mobile Number i.e. _________________________________ for our Demat/Trading Account, which was mentioned in our Family Member's Account Opening Form or Modification Form and we don't have any objections regarding the same.

Please do the needful.

Yours Faithfully,

Signature: ___________________________

Mr./Ms.:

BO ID:

Trading Code:

Stock Holding Corporation of India Limited

Registered office : 301, Centre Point, Dr. Babasaheb Ambedkar Road, Parel, Mumbai – 400012
CIN : U67190MH1986PLC040506
Visit us at : www.shcilestamp.com

VER 5.1 130315

e-Stamping Application Form

Application Date	/ /20	(✓ Tick any one)	■ **Registerable**	■ **Non-Registerable**
Document Description	Article		Stamp Duty Amount	₹ Indian Rupees only

Property Description (not exceeding 100 characters)

	Consideration of Property	₹

First Party Details (name not exceeding 50 characters)

Name					
Address					
Phone		PAN		Pin Code	

Second Party Details (name not exceeding 50 characters)

Name					
Address					
Phone		PAN		Pin Code	

Stamp Duty Payment Details (name not exceeding 50 characters)

Stamp Duty Purchased by			
Stamp Duty Paid by (✓ Tick)	■ 1st Party ■ 2nd Party	Type of Payment	■ Cash ■ Cheque ■ DD ■ Pay-Order ■ NEFT ■ RTGS ■ Account to Account Transfer
Stamp Duty Paid by - Gender (✓ Tick)	■ Male ■ Female ■ Jointly paid by Male & Female ■ Others		

Cheque / DD / Pay-Order / NEFT / RTGS / Account Details			**Cash Deposit**	**₹**
Bank Name	Branch Name	Cheque /DD /PO /UTR /REF/Account No.	Deno. Pieces	
			1000 X	
			500 X	
			100 X	
			50 X	
			20 X	
			10 X	
			5 X	
Rupees (in Words) :			2 X	
			1 X	
			Total	

1. Please submit the duly filled and signed form along with stamp duty amount at the e-Stamping counter
2. Stamp Duty amount should be rounded off to the nearest Rupee
3. The correctness of Article type and Stamp Duty amount cannot be confirmed at the e-Stamping counter
4. Once the e-Stamp is generated no modifications/changes are possible, so carefully check the preview of the e-Stamp and only then sign the preview
5. Once the e-Stamp has been generated, payment cannot be cancelled or refunded by StockHolding. For cancellation you need to get in touch with the Competent Authority at the Stamp Office appointed by the State Government
6. Cancellation charges are applicable as levied by the State Government

I have read and understood the above instructions and the Information given by me in this form is true to the best of my knowledge and belief.

Name of the Party/ Representative: Signature:

(For Office use only)

I verify that the Application Form is in order

To be filled by USER **To be filled by SUPERVISOR**

SUBIN		Certificate Number	**IN**
Signature		Signature	
Stamp Certificate received by	Name:	Signature:	

---✂---✂--------------------------------------

StockHolding e-Stamping **Receipt** *(To be filled in by the client)*

Stamp Duty Purchased By		Stamp Duty Paid by	■ 1st Party ■ 2nd Party
Stamp Duty Amount	₹	Type of Payment	■ Cash ■ Cheque ■ DD ■ Pay-Order ■ NEFT ■ RTGS ■ Account to Account Transfer
Cheque/ DD/ PO/ UTR/ REF/Account No.		Date: / /20	
Bank Name		Branch Name	
Counter Signature with Seal			

CIN : U67190MH1986PLC040506

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REGISTERED OFFICE: 1214-1216,12th floor,DSCCSL(53E), Road 5E,Block 53, Nr.Grand Mercure Hotel, ZONE 5,DTA,Gift City,Gandhinagar,Gujarat-382355
CORPORATE & COMMUNICATION OFFICE ADDRESS: 407, The Grand Mall, Opp. SBI Zonal Office, Ambawadi, Ahmedabad, Gujarat - 380 015.
Telephone: +91-79-40-222-605 / 609, Fax: +91-79-40-222-699, Email: demat@airanfinstocks.com | info@airanfinstocks.com

ACCOUNT DETAILS ADDITION / MODIFICATION / DELETION REQUEST FORM

For Capital Market - BSE CASH / NSE Cash / NSE FNO / DP - CDSL

☐ I /We request to carry out the change of address / Signature in the Demat account
☐ I / We request to carry out the change of address / Signature in the KRA and Demat
☐ I / We request you to make the following additions / modifications I deletion to my/ Our account in your records

Application No.		Date								

Please fill all the details in Block Letters in English

DEMAT ID	1 2 0 6 2 7 0 0	CLIENT ID	
Trading code		Client Name	

We request you to kindly change the mentioned client details in my client registration form as per the given instructions and give effect to the relevant attested documentary proofs are attached with this letter

1. Client Address	Old Address	New Address
House No. Street		
Building/Society/Scheme		
Road		
Area/Village		
City/District & Pin Code		

Please attach any one of. (1) Passport (2) Voter ID Card (3) Driving License (4) Bank Passbook with banker's attested (5) verified copies of (a) Electricity bills (not more then two months old. (b) Residence Telephone bills (not more then two months old (6) Self declaration by high court & Supreme court Judges, giving the new address in respect of their own account (7) Identity card / document with address, issued by (a) Central/State Government and its departments, (b) Statutorily Regulatory (c) Public sector Undertakings (d) Scheduled Commercial Bank, (e) Public Financial Institutions, (f) Colleges affiliated to universities, and (g) professional Bodies such as ICAI, ICWAI and Bar Council etc, to their Members.

2. Bank Details	Old Details	New Details
Bank Name & Branch		
Bank Account Number		
Bank Account Type		
MICR Code		

Please attach the copy of Passbook and Original Cancelled Cheque.

2. Demat Details	Old Details	New Details
Client Name		
Client ID		
DP ID		
DP Name		

Please attach the copy of Client Master of New Active Demat Account

4. Brokerage & Branch Details	Old Details	New Details
Delivery		
Intra-Day Trading		
Branch Details		

5. Other Details

Old E-mail Address	New E-mail Address
Old Mobile Address	New Mobile Address

All the charges as requested above by me/us will be binding on us.

Powered by: Airan Finstocks Pvt. Ltd. | SEBI Regn. No. NSE/ BSE/MCX : INZ000214031 | SEBI Regn. No. DP: IN-DP-CDSL-528-2009

CLIENT SIGN: (1) ______________________ (2) ______________________ (3) ______________________

First Holder Second Holder Third Holder

Office Use

Received By ______________________ Received Date ______________________

Modified by DP/ Date ______________________ Modified by Trading / Date ______________________

Know Your Client (KYC)

Application Form (For Individuals Only)

Please fill the form in ENGLISH and in BLOCK letters

Fields marked * are mandatory

Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also

CDSL VENTURES LIMITED
....Exploring New Horizons

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Powered By: AIRAN FINSTOCKS PVT LTD

Application Number:

Application Type*: ■ New KYC ■ Modification KYC

KYC Mode*: Please Tick (✓)

☐ Normal ☐ EKYC OTP ☐ EKYC Biometric ☐ Online KYC ☐ Offline EKYC ☐ Digilocker

1. Identity Details (please refer guidelines overleaf)

PAN* ______________________ Please enclose a duly attested copy of your PAN Card

Name* (same as ID proof) ______ ______ ______ ______

Maiden Name+ (if any) ______ ______ ______ ______

Fathers/Spouse's Name* ______ ______ ______ ______

Date of Birth* ______________

Recent passport size Applicant Photo

Cross Signature across photograph

Gender* ☐ Male ☐ Female ☐ Transgender

Marital Status* ☐ Single ☐ Married

Nationality* ☐ Indian ☐ Other ______________

Residential Status* ☐ Resident Individual ☐ Non Resident Indian

Please Tick (✓) ☐ Foreign National ☐ Person of Indian Origin+

(Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual)

Proof of Identity (POI) submitted for PAN exempted cases (Please tick)

☐ A — Aadhaar Card XXXX XXXX __ __ __ __

☐ B — Passport Number ______________ (Expiry Date) ______________

☐ C — Voter ID Card ______________

☐ D —Driving License ______________ (Expiry Date) ______________

☐ E —NREGA Job Card ______________

☐ F — NPR ______________

☐ Z —Others ______________ (any document notified by Central Government)

Identification Number ______________

2. Address Details* (please refer guidelines overleaf)

A. Correspondence/ Local Address*

Line 1* ______________________________

Line 2 ______________________________

Line3 ______________________________

City/Town/Village* ______________ District+ ______________ Pin Code* ______________

State* ______________ Country* ______________

Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Applicant e-SIGN

B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)

Line 1* ______________________________

Line 2 ______________________________

Line3 ______________________________

City/ Town/Village* ____________ District* ____________ Pin Code* ____________

State* ____________ Country* ____________

Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Proof of Address* (attested copy of any 1 POA for correspondence and permanent address each to be submitted)

☐ A — Aadhaar Card	XXXX XXXX __ __ __ __		
☐ B — Passport Number	____________	(Expiry Date)	____________
☐ C — Voter ID Card	____________		
☐ D —Driving License	____________	(Expiry Date)	____________
☐ E —NREGA Job Card	____________		
☐ F — NPR Letter	____________		
☐ Z—Others	____________	(any document notified by Central Government)	
Identification Number	____________		

3. Contact Details (in CAPITAL)

Email ID* ______________________________

Mobile No. * _____ ______________________

Tel (Off) _____ ______________________ Tel (Res) _____ ______________________

4. Applicant Declaration

	Applicant e-SIGN	Applicant Wet Signature
I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it. I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only. DATE: ____________ (DD-MM-YYYY) PLACE: ____________		

5. For Office Use Only

In-Person Verification (IPV) carried out by*	Intermediary Details*
IPV Date ____________	☐ Self certified document copies received (OVD)
Emp. Name ____________	☐ True Copies of documents received (Attested)
Emp. Code ____________	AMC / Intermediary Name :
Emp. Designation ____________	
Employee Signature and Stamp	**AIRAN FINSTOCKS PVT LTD** CVL KRA - (1100062700) CERSAI - (IN1182) AIRAN FINSTOCKS PVT. LTD. DPID : 62700 AHMEDABAD

KYC CHECKLIST (PLEASE TICK WHEREVER APPROPRIATE)

	Acceptable Documents (Copies of all documents to be self attested)	Please Tick
PAN Card	of Account Holder & Joint Holder's (If any)	
Photograph	One Colored Front Face Photograph on photographic Paper (Passport Size)	
Additional Proof of Identity (Any One) (If Pan card not visible **OR** Pan card sign & signature in KYC form are different)	1. Unique identification number (UID) (Aadhaar)	
	2. Valid Passport and Driving License (Name, Address & Photo page)	
	3. Voter ID (front and back)	
	4. PAN Card	
	5. Letter issued by gazetted officer, with a duly attested photograph of the person	
	6. Other (Pis. Specify)	
Proof of Address (Any One) Permanent / Correspondence	1 . Valid Passport and Driving License (Name, Address & Photo page)	
	2. Voter ID (front and back)	
	3. Unique identification number (UID) (Aadhaar)	
	4. Bank Statement/Passbook (not more than 2 months old)	
	5. Banker's Verification Letter	
	6. Electricity or Resident Landline Tel. Bill (not more than 2 months old)	
	7. Bill (not more than 3 months old)	
	8. Registered Flat Sale Agreement of Residence or Registered Lease/Leave & License Agreement	
	9. Property or Municipal Tax receipt	
	10. Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address	
	11. Letter of allotment of accommodation from employer issued by State or Central Government departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies	
	12. Other (Pis. Specify)	
Bank Proof With MICR/IFSCCode (1st Holder only)	1. Bank Statement / Bank Passbook with cheque leaf (not more than 3 months old)	
	2. Banker's Certificate on letter head of the Bank (ORIGINAL)	
	3. Cancelled Personalized Cheque leaf	
Demat Proof (1st Holder only)	1. Client Master	
	2. DP Statement	
	3. Transaction cum Holding Statement	
	4. Welcome Letter	
Proof of Income (Any One) (Mandatory for F&O/ Currency Segments) (1st Holder only)	1. Copy of ITR Acknowledgement	
	2. In case of salary income - Salary Slip, Copy of Form 16	
	3. Net-worth certificate	
	4. Copy of Demat Account Holding Statement	
	5. Bank Account Statement for last 6 months reflecting income	
KYC Check points for NRI/FN clients (allowed to trade subject to RBI and FEMA guidelines),:	1. Passport copy mandatory	
	2. PIO Card/OCI Card and overseas address proof is mandatory.	
	3. In case of Merchant navy NRIs, Mariners declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.	
	4. Authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.	

IMPORTANT INSTRUCTIONS

1. All details to be filled in Capital Block letters in Black / Blue Ink Only.
2. Email ID & Mobile number is very important for account related passwords and transaction details.
3. Corrections in the KYC form should be counter signed & the Sign has to match the original signature
4. Sfrike off whichever option, in the account opening form, is not applicable.
5. All Originals to be produced for physical verification.
6. If any proof of identity or address is in a foreign language, then translation into English is required.
7. Sole proprietor must make the application in his individual name & capacity.
8. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
9. Account will be opened as per Name appearing on Income Tax website
10. For HUF category provide NON-INDIVIDUAL KRA/CERSAI with declaration
11. Fields marked with '*' are mandatory fields.
12. Wherever state code and country code is to be furnished, the same should be the two-digit code as per Indian Motor Vehicle, 1988 and ISO 3166 country code respectively list of which is available in form center on our website www.airanfinstocks.com
13. KYC number of applicant is mandatory for updation of KYC details.
14. For particular section update, please tick (3) in the box available before the section number and strike off the sections not required to be updated.